

Critical Infrastructure Prioritization Guidance

Based on DHS Sector Taxonomy version 4



NCIPP Prioritization Criteria

| | NCIPP Priority | | | |
|---|---|---|--|--|
| Consequence Type | 1 | 2 | | |
| Catastrophic Economic Impacts Project | First-year expected impact is \$150M+ (no secondary criteria necessary) | First-year expected impact is \$50M+ (no secondary criteria necessary) | | |
| Potential Prompt Fatalities* | 5,000+ fatalities | 2,500+ fatalities | | |
| First-Year Economic Losses* | \$75B+ | \$25B+ | | |
| Mission Interruption* | Severe degradation of the Nation's national security capabilities, including intelligence and defense functions, but excluding military facilities. | Severe degradation of the Nation's national security capabilities, including intelligence and defense functions, but excluding military facilities. | | |
| Mass Evacuation* | Prolonged evacuation duration of longer than 3 months | Prolonged evacuation duration of longer than 1 month | | |
| Food and Agriculture Sector Specific Criteria 1** | NA | Commodity or product contributes greater than \$5 billion in economic value added to a state or territory annually (the \$5 billion in economic value added is based on a consecutive three-year average) | | |
| Food and Agriculture Sector Specific Criteria 2** | NA | Commodity or product is distributed to five or more states, territories, or foreign countries. | | |

^{*}Must meet two of these criteria to be categorized at a specific level.

^{**}Must meet both criteria



Local Meta-Criteria

| | | Priority | | | |
|----------------------------|---|---|--|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Human Health | 500+ fatalities | 100 - 500 | 10 – 100 fatalities | <10 fatalities | |
| First-Year Economic Losses | \$5B+ | \$500M - 5B+ | \$50M - 500M | <\$50M | |
| Mission Interruption | Widespread/lengthy interruption as function is unable to be assumed by other facilities | Significant interruption as function is not entirely able to be assumed by other facilities | Moderate interruption as function is mostly able to be assumed by other facilities | Minimal interruption as function is able to be assumed by other facilities | |
| Mission Level | National level | Multi-state level | State level | Local level | |
| Psychological Impact | People nationally change their public behavior for an extended time | Many people change their public behavior for a moderate amount of time | Local population changes their public behavior for a brief amount of time | Some of the local population may change their behavior but most will not | |



Banking and Financial Services Sites

| | | Prio | Priority | | |
|------------------------------------|--|---|---|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Headquarters of Bank (Deposits) | \$10B+ | \$1B-\$1OB | \$100M-\$1B | <\$100M | |
| Capacity (Max. persons) | 7,500+ | 750 – 7,499 | 75 – 749 | <75 | |
| Floor Space (Gross sq. ft.) | 1,000,000+ | 500,000 – 999,999 | 250,000 – 499,999 | <250,000 | |
| Height (ft.) / stories | 250+ / 20+ | 125 - 249 / 10 – 19 | 62.5 - 124 / 5 – 9 | <62.5 / <5 | |
| Type of Institution | Major clearinghouse, primary financial exchange Site is a major records archive location for financial transactions | Regional HQ of national financial institution Site is a major cash handling operation with or without a fleet of armored vehicles for movement of cash | HQ of regional brokerage firms, insurance companies, mortgage companies | Local branches of national banks; credit unions; or fixed, unstaffed ATM facilities, check cashing sites Brokerage firms, insurance companies, mortgage companies, advisory firms | |

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Chemical Sites

| | Priority | | | | | |
|--|--|-------------------------------------|-------------------|-----------|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | | |
| CFATS (Chemical Facility Anti- Terrorism Standards) Designated Facility Tier | Sites that are classified as tier 1, 2, or 3 | Sites that are classified as tier 4 | N/A | N/A | | |
| Pounds Chemical Stored | 12,500 lbs.+ | 1,250 lbs12,499 lbs. | 125 lbs1,249 lbs. | <125 lbs. | | |

CISA Chemical Tier – CISA, the Cybersecurity and Infrastructure Security Agency, created four tiers, based on risk, that determine whether a facility is high risk. Tiers 1 – 3 indicate high risk sites (Tier 1 being the highest risk), as determined by the facility's completion of a Top Screen questionnaire and Federal assessment. The types of and use of chemicals at the facility serve as inputs to the Chemical Tier rating.

For more information, please visit: https://www.cisa.gov/cfats-tiering-methodology



Commercial Facilities Sites

| | Priority | | | |
|---|------------|---------------------|--------------------|-----------|
| Consequence Type | 1 | 2 | 3 | 4 |
| Economic Losses | \$5 B+ | \$.5B — 5B | \$50M5B | <\$50M |
| Capacity (rooms) | 1,000+ | 500-999 | 100-499 | <100 |
| Retail or Lodging Height (ft.) / stories | 250+ / 20+ | 125 - 249 / 10 – 19 | 62.5 - 124 / 5 - 9 | <62.5/ <5 |
| Floor Space (Gross sq. ft.) | 1,000,000+ | 500,000 – 999,999 | 250,000 – 499,999 | <250,000 |
| Capacity (max. persons) | 7,500+ | 750 – 7,499 | 75 – 749 | <75 |
| Capacity (max. persons) at Public Assembly Sites (arenas, stadiums, amphitheaters, etc) | 50,000 | 25,000 – 49,999 | 15,000 – 24,999 | <15,000 |
| Annual number of visitors (Amusement / Theme Park) | 10M+ | 1-10M | 100,000 – 999,999 | <100,000 |



Communications Sites

| | Priority | | | |
|------------------|--|---|---|---|
| Consequence Type | 1 | 2 | 3 | 4 |
| Economic Losses | \$5B+ | \$.5 – 5B | \$50M5B | <\$50M |
| Asset Type | Emergency communications center with no redundancy | Emergency communications facility with redundancy Regional or county 911 call center Telecom hotel/Data center with no redundancy | Local or redundant 911 call center Telecom hotel/Data center with redundancy | Fiber data network points Telecom fiber data network points |



Critical Manufacturing Sites

| | Priority | | | | |
|-----------------------------|---|--------------------------------------|---------------------|---------------------------------------|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Sole Source | Sole source provider of a product(s) in a critical manufacturing industry | One of 2-4 providers of a product(s) | On | one of many providers of a product(s) | |
| Economic Losses | | \$5 B+ | \$.5 – 5B | <\$.5B | |
| Capacity (Max. persons) | | 7,500+ | 750 – 7,499 | <749 | |
| Floor Space (Gross sq. ft.) | | 1,000,000+ | 500,000 – 999,999 | <499,999 | |
| Height (ft.) / stories | | 250+ / 20+ | 125 - 249 / 10 – 19 | <124 / 9 | |



Dams and Related Infrastructure Sites

| | Priority | | | | |
|-------------------------------------|---|--|--|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Power Generation | Supports hydroelectric facility producing more than 500 megawatts | Supports hydroelectric facility producing more than 100 megawatts | Supports hydroelectric facility producing more than 10 megawatts | Supports hydroelectric facility producing less than 10 megawatts | |
| Levee Criticality | Major pumping station on critical waterway; levee protection large population | Major pumping station with minimal redundancy; levee protecting medium- sized population | Pumping station with redundancy; levee protecting populated area | All other levees | |
| Navigation Locks | On a nationally vital shipping lane | Connects interstate or significant waterways | Connects critical local waterways | All other locks | |
| Raw Water Storage (million gallons) | 30M+ gallons | 3-30M gallons | .3-3M gallons | <.3M gallons | |



Defense Industrial Base Sites

| | | Priority | | | | |
|-----------------------------|--|--|--|---|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | | |
| Military Mission | Sole source provider to a Department of Defense mission | One of 2 - 4 providers to a Department of Defense mission | One of many providers to a Department of Defense mission | | | |
| Military Supply | Sole source provider of fuel to military base Sole critical repair point for military equipment | Significant provider of fuel to military base Critical repair points for military equipment | One of many providers or repair points | | | |
| Floor Space (Gross sq. ft.) | 1,000,000+ | 500,000 – 999,999 | <499,999 | | | |

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Education Sites

| | Priority | | | |
|------------------|---|--|---|----------------------------------|
| Consequence Type | 1 | 2 | 3 | 4 |
| School Details | Significant or high-profile university, or a similar site | University, college, large or prominent high school, or a similar site | K-12 school, preschool, or a similar site | Supporting or other type of site |

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Emergency Services Sites

| | | Priority | | | |
|------------------|-----------------|--|--|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Level of Service | State level EOC | County Emergency Operations Center Emergency Operations Center in a major city City/county fire or police headquarters that host regional teams (e.g. SWAT, EOD, Forensic, HazMat, US&R) State level law enforcement or fire headquarters | City Emergency Operations Center City/ county fire or police headquarters Sole source ambulance provider Regional federal offices | Rural fire or police headquarters Fire and police stations Ambulance services (multiple) | |



Energy Sites: Electricity

| | | Priority | | | | |
|--------------------------------|--|--|--|--|--|--|
| | 1 | 2 | 3 | 4 | | |
| Consequence Type | | | | | | |
| Generating Capacity | 1,000 MW+ | 500 - 999 MW | 250 – 499 MW | <250 MW | | |
| Population Served | More than 25% of the urban area population | More than 20% of the urban area population | More than 15% of the urban area population | Less than 15% of the urban area population | | |
| Sole Source of Electricity for | NA | Priority I asset | Priority II asset | Priority III or IV asset | | |
| Substation Power | | 250 kV+ | 57.5 - 249 kV+ | 25 – 57.5 kV+ | | |



Energy Sites: Oil and Natural Gas

| | Priority | | | |
|-----------------------------|----------------------|---------------------------|---------------------------|----------------------|
| Consequence Type | 1 | 2 | 3 | 4 |
| Population Served | 250,000+ | 25,000 – 249,999 | 2,500 – 24,999 | <2,500 |
| Petroleum Terminal Capacity | .5M+ barrels | 250 — .5M barrels | 125 — 250K barrels | <125K barrels |
| Petroleum Storage | 250K+ barrels | 125– 250K barrels | 62.5 — 125K barrels | <62.5K barrels |
| Total Operable Capacity | 1,000 barrels/day | 500-999 barrels/day | 250-499 barrels/day | <250 barrels/day |
| Natural Gas | .5B+ cubic feet/day+ | 250M — .5B cubic feet/day | 125 – 250M cubic feet/day | <125M cubic feet/day |



Food and Agriculture Sites

| | Priority | | | |
|-------------------------|-----------------|---------------------|-------------------|---------------|
| Consequence Type | 1 | 2 | 3 | 4 |
| Economic Production | \$150M+ | \$15M — \$150M | \$1.5M - \$15M | <\$1.5M |
| Animal Loss (livestock) | 5,000,000+ | .5M – 5M | 100,000 – 1M | <100,000 |
| Animal Loss (poultry) | 1B+ | 100M – 1B | 10M – 100M | <10M |
| Bushels stored | 2.5M+ | 1.5M - 2.5M | .5M – 1.5M | <.5M |
| Loss of Lives | 500+ fatalities | 50 – 499 fatalities | 5 – 49 fatalities | <5 fatalities |



Government Facilities Sites

| | Priority | | | | |
|-----------------------------|---|---|---------------------------|---------------------------------|--|
| | 1 | 2 | 3 | 4 | |
| Consequence Type | | | | | |
| Level of Service | Critical or prominent government function, with no redundancy | Critical or prominent government function | Key government facilities | All other government facilities | |
| Capacity (Max. persons) | 7,500+ | 750 – 7,499 | 75 – 749 | <75 | |
| Floor Space (Gross sq. ft.) | 1,000,000+ | 500,000 – 999,999 | 250,000 – 499,999 | <250,000 | |
| Height (ft.) / Stories | 250+ / 20+ | 125 - 249 / 10 – 19 | 62 - 124 / 5– 9 | <62 / <5 | |



Healthcare and Public Health Sites

| | Priority | | | | |
|------------------------------|--|---|--|-------------------------|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Capacity (Avg. staffed beds) | 250+ | 125 – 249 | 50 – 124 | <50 | |
| Biological Safety Level | 4 | 3 | 2 | 1 | |
| OB Level | 4 | 3 | 2 | 1 | |
| NRC Radiological Tables | Category 1 | Category 2 | | | |
| Regional Uniqueness | Level 1 trauma centers (24x7 operation for severely injured cases) | Level 2-4 trauma centers | Regional hospitals | Regional health clinics | |
| | State stockpile | Teaching hospitals Primary receiving locations of pharmaceutical | Secondary receiving locations of pharmaceutical stockpiles (e.g. Chempack, DMAT) | | |
| | Unique production facility of medically necessary products | stockpiles and Antibiotics cache serving >50,000 | Antibiotics cache serving <50,000 persons | | |

Biological Safety Levels – Denotes the ability for a facility to conduct biocontainment activities on the following types of substances

<u>BSL-1</u> – Non-pathogenic agents contained through standard lab hygiene practices; no specialized added protocols

<u>BSL-2</u> – Pathogenic agents requiring some additional special handling

<u>BSL-3</u> – Potentially lethal agents for which treatments/vaccines exist; multiple, specialized protective measures and protocols required to prevent release

<u>BSL-4</u> – Agents that can cause severe or fatal diseases without known effective treatments; extensive protections and protocols required to prevent release

Obstetrics Levels — Denotes the ability for a hospital to care for high-risk births

OB Level 1 – Accredited birthing centers providing basic care

<u>OB Level 2</u> – Accredited birthing centers providing specialty care

<u>OB Level 3</u> – Accredited birthing centers providing subspecialty care

OB Level 4 – Regional perinatal health care centers

NRC Radiological Tables - Denotes the ability for a facility to address radiological events involving category 1 or 2 radiological substances

Category 1 - includes ability to treat radiation exposure that is likely to cause injury/death in a few minutes or hours

<u>Category 2</u> – includes ability to treat radiation exposure that is likely to cause injury/death in a few hours or days



Information Technology Sites

| | Priority | | | | |
|-------------------|---|--|---|---------------------------|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Economic Losses | \$5 B+ | \$.5 – 5B | \$50M5B | <\$50M | |
| Asset Type | Core DNS point such as Rootname server and top-level domain | Single exchange point for 911 center Sole source manufacturer of hardware | Exchange point Major DNS points such as sub- domains | Fiber data network points | |
| Cascading Effects | Sole source provider to a DoD mission | One of 2 - 4 providers to a DoD mission | | One of many providers | |



Military Sites

| | | Priority | | | | |
|-----------------------------------|---|---------------------|------------------------------------|-----------------------|--|--|
| Consequence Type | 1 | 2 | 3 | 4 | | |
| Military Personnel on Site | 12,500+ | 1,250 - 12,499 | 125 – 1,249 | <125 | | |
| Significance of Military Facility | Command headquarters (i.e. COCOMS) or critical or prominent military base | Joint military base | Individual military branch base | National Guard Armory | | |



Nuclear Reactors, Materials, and Waste Sites

| | Pr | | | |
|--|---|--|---|---|
| | 1 | 2 | 3 | 4 |
| Consequence Type | | | | |
| Facility Types | Active nuclear power or production reactors | Facilities with quantities of material sufficient to cause potential nuclear criticality events, requiring dedicated emergency planning activities | Laboratory reactors Low level radioactive waste storage facilities | Facilities with Report Quantity (RQ) radioactive material — per the Environmental Protection Agency, if released RQ causes 5mSv doses at 30m downwind |
| Nuclear Facility Hazard Potential | Significant off-site consequences | Significant on-site consequences | Significant but localized consequences | Mild to moderate localized consequences |
| Throughput Volume (cubic feet / year) at Nuclear Power Sites | 20,000 + | 10,000 – 19,999 | 5,000 – 9,999 | < 5,000 |



Transportation Systems Sector

| Consequence | | Priority | | | | |
|----------------------------|---|---|---|---|--|--|
| Type | 1 | 2 | 3 | 4 | | |
| Economic Losses | \$5 B+ | \$.5 – 5B | \$50M5B | <\$50M | | |
| Air Passengers per Year | 5M+ | 2.5 – 5M | 1 – 2.5M | <1M | | |
| Type of Airport | Large hub airport | Medium hub airport | Small hub airport | Non-hub airport, nonprimary airport, reliever airports, general aviation | | |
| Types of Freight Assets | River crossing/major tunnel with no practical reroute | Bridge/tunnel with practical reroute; major interchange; hump yard | Rail/other freight transportation system command centers | Rail/other freight transportation system node | | |
| Postal and Shipping | | | Large, integrated carrier | Regional and local courier service providers or mail services, mail management firms, chartered delivery services | | |
| Mass Transit System | Key nodes (in size or proximity to high priority infrastructure) in a system with 250k+ average daily ridership | Key nodes in a system with 100k+ average daily ridership | Key nodes in a system with 50,000+ average daily ridership | Mass transit nodes | | |
| Highway Systems | River crossing/major tunnel with no practical reroute; critical interchanges | River crossing/major tunnel with practical reroute; significant interchanges; routes with 200k+ average daily traffic | Routes with 100k+ average daily traffic; critical rural highways with no reroutes | Major routes or highways | | |
| Annual Port Volume | 1M+ Twenty-foot Equivalent Units | 250,000 - 499,999 Twenty-foot Equivalent Units | 50,000 – 249,999 Twenty-foot Equivalent Units | < 50,000 Twenty-foot Equivalent Units | | |



Water and Wastewater Systems Sector

| | Priority | | | | |
|--|------------------------------|-----------------------------|-----------------------------|-----------------------------|--|
| Consequence Type | 1 | 2 | 3 | 4 | |
| Population Served by Facility (not system as a whole) | > 25% of local population | > 20% of local population | >15% of local population | <15% of local population | |
| Water Treatment Facility Capacity (million gallons per day) | 5M+ g/d | 2.5-5M g/d | .5-2.5M g/d | <.5M g/d | |
| Raw Water Storage (million gallons) | 30M+ gallons | 3-30M gallons | .3-3M gallons | <.3M gallons | |
| Wastewater Treatment Capacity (highest annual/wet- weather, in cubic meters per day) | 100,000+ m ³ /day | 50,000+ m ³ /day | 10,000+ m ³ /day | <10,000 m ³ /day | |