FEMA

National Preparedness Assessment Division (NPAD)
Threat and Hazard Identification and Risk Assessment (THIRA)
Stakeholder Preparedness Review (SPR)

Modified 2020 THIRA/SPR Target Reporting Requirements

Overview

The COVID-19 pandemic has placed an unprecedented strain on communities. With the expectation that response and recovery efforts will continue through much of the remaining year, FEMA has modified and reduced the 2020 Threat and Hazard Identification and Risk Assessment (THIRA) and the Stakeholder Preparedness Review (SPR) reporting requirements for Homeland Security Grant Program (HSGP) recipients. Completed assessments will still be due by December 31, 2020.

For the 2020 THIRA/SPR, communities will be required to complete only the targets that correspond to the core capabilities listed below, which are those impacted by pandemic response and those required in the Fiscal Year 2020 HSGP Notice of Funding Opportunity (NOFO). All other reporting requirements listed in the HSGP NOFO and HSGP section of Preparedness Grants Manual (PGM) remain the same.

2020 THIRA/SPR Required Targets

Mission Area	#	Core Capability	Target Name
Cross-Cutting	1	Public Information and Warning	Information Delivery
	2	Operational Coordination	Unified Operations
Prevention/Protection	3	Intelligence and Information Sharing	Intelligence Cycle Auditing/Execution
Protection	4	Access Control and Identity Verification	Credential Acceptance
	5	Cybersecurity	Cyber Plan Updates
	6	Interdiction and Disruption	Interdiction/Disruption Activities
	7	Physical Protective Measures	Critical Infrastructure Security Plan Updates
	8	Risk Management for Protection Programs and Activities	Critical Infrastructure Risk Assessment
	9	Screening, Search, and Detection	Conduct Screening Operations
	10	Supply Chain Integrity and Security	Supply Chain Risk Preparedness
Mitigation	11	Risk and Disaster Resilience Assessment	Threat and Hazard Modeling
Response	12	Fatality Management Services	Body Recovery/Storage
	13	Public Health, Healthcare, and Emergency Medical Services	Medical Care
Recovery	14	Economic Recovery	Reopen Businesses
	15	Health and Social Services	Reestablish Services

Additional Requirements

As in 2019, communities are still required to complete the following:

- **Additional Functional Area Gaps** form for all 32 core capabilities
- **Planning Questions Survey** included in the post-assessment
- Previously required applicable **secondary assessments**[1] including:
  - Comprehensive Preparedness Guide (CPG) 101
  - National Incident Management System (NIMS) or Urban Area Working Group (UAWG) assessment

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[1] States and territories must complete CPG 101 and NIMS. Urban Area Security Initiatives (UASIs) must compete CPG 101 and the UAWG.

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Detailed Reporting Requirements for the Modified 2020 THIRA/SPR Assessment

Communities that submitted a THIRA in 2019 are not required to complete it again in 2020[2]. All steps of the SPR are required for most targets unless otherwise indicated.

DHS also identified four core capabilities as part of the Fiscal Year 2020 HSGP NOFO which requires communities to complete portions of the THIRA and SPR for corresponding targets. These core capabilities and targets were previously optional for 2019 THIRA/SPR submissions.

Core Capability	THIRA			SPR		
	Step 1	Step 2	Step 3	Step 1	Step 2	Step 3
Public Information and Warning	✗	✗	✗	✓	✓	✓
Operational Coordination	✗	✗	✗	✓	✓	✓
Intelligence and Information Sharing	✗	✗	✗	✓	✓	✓
Cybersecurity	✗	✗	✗	✓	✓	✓
Interdiction and Disruption	✗	✗	✗	✓	✓	✓
Screening, Search, and Detection	✗	✗	✗	✓	✓	✓
Risk and Disaster Resilience Assessment	✗	✗	✗	ⓘ	✓	✓
Fatality Management Services	✗	✗	✗	✓	✓	✓
Public Health, Healthcare, and Emergency Medical Services	✗	✗	✗	✓	✓	✓
Economic Recovery	✗	✗	✗	✓	✓	✓
Health and Social Services	✗	✗	✗	✓	✓	✓
Access Control and Identity Verification	⚠	⚠	✓	ⓘ	✓	✓
Physical Protective Measures	✗	✗	✓	ⓘ	✓	✓
Supply Chain Integrity and Security	✗	✗	✓	ⓘ	✓	✓
Risk Management for Protection Programs and Activities	✗	✗	✓	ⓘ	✓	✓

✓ Required   ✗ Not Required   ⚠ or ⓘ Requires Additional Information (see notes)

Notes:
Where indicated ⚠ , THIRA 1 and 2 are **required** for Access Control and Identity Verification if a community **does not** already have a threat/hazard, context description, and standardized impact estimation related to this core capability.

For SPR 1 where indicated ⓘ , quantitative estimate of current capability is **required**. Quantitative estimates of beginning capability and capability built, lost, and sustained are **not required**.

[2]Some THIRA steps are required for core capability targets that are newly required in 2020. This includes: Access Control and Identity Verification, Physical Protective Measures, Supply Chain Integrity and Security, and Risk Management for Protection Programs and Activities.